

AP4 REG PCT/PTO 23 DEC 2005

Attorney Docket No. HANOL-10658

10/562379

U.S. Application No.

**TRANSMITTAL LETTER TO THE UNITED STATES
DESIGNATED/ELECTED OFFICE (DO/EO/US)
CONCERNING A FILING UNDER 35 U.S.C. 371**

International Application No.
PCT/KR2004/001558

International Filing Date:
25 June 2004 (25.06.04)

Priority Date Claimed:
26 June 2003 (26.06.03)

Title Of Invention: **Method Of Preparing Mixed Formulation Of Sustained Release Microspheres By Continuous One-Step Process**

APPLICANT(S) FOR DO/EO/US **Hee Yong LEE, et al.**

Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☐ This is an express request to begin national examination procedures (35 U.S.C. 371(f)). The submission must include items (5), (6), (9) and (21) indicated below.
4. ☒ The US has been elected (Article 31).
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
 - a. ☐ is attached hereto (required only if not communicated by the International Bureau).
 - b. ☒ has been communicated by the International Bureau.
 - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US).
6. ☐ An English language translation of the International Application as filed (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
 - a. ☐ are attached hereto (required only if not communicated by the International Bureau).
 - b. ☐ have been communicated by the International Bureau.
 - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
 - d. ☒ have not been made and will not be made.
8. ☐ An English language translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371 (c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ An English language translation of the annexes of the International Preliminary Examination Report under PCT Article 36 (35 U.S.C. 371(c)(5)).

Items 11 to 20 below concern document(s) or information included:

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included.
13. ☐ A preliminary amendment.
14. ☐ An Application Data Sheet under 37 CFR 1.76.
15. ☐ A substitute specification.
16. ☐ A power of attorney and/or change of address letter.
17. ☐ A computer-readable form of the sequence listing in accordance with PCT Rule 13ter.2 and 37 CFR 1.821 - 1.825; Sequence Listing in Paper Copy; Certificate of Sequence Listing.
18. ☐ A second copy of the published international application under 35 U.S.C. 154(d)(4).
19. ☐ A second copy of the English language translation of the international application under 35 U.S.C. 154(d)(4).
20. ☒ Other items or information: **WO 2004/112752.**
21. ☒ The following documents will follow shortly: **PCT/ISA/210 (ISR); PCT/ISA/237 (Written Opinion); PCT/IB/301 (Not. of Receipt of Record Copy); PCT/IB/304 (Not. of Submission of Priority Document); PCT/IB/308; PCT/IB/311; PCT/ISA/202 (Not. of Receipt of Search Copy); Copy of PCT Request.**

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|--|--------------|---|------------|--------------------------------------|---------------------|
| U.S. Application No. 10/562379 | | International Application No. PCT/KR2004/001558 | | Attorney's Docket No. HANOL-10658 | |
| | | | | CALCULATIONS | PTO USE ONLY |
| 21. <input checked="" type="checkbox"/> Basic National Fee | | \$ 300.00 | | \$300.00 | |
| 22. <input checked="" type="checkbox"/> Examination Fee | | | | \$200.00 | |
| <input type="checkbox"/> If International Preliminary Examination Report prepared by USPTO and all claims satisfy provisions of PCT Article 33(1)-(4) | | \$ 100.00 | | | |
| <input checked="" type="checkbox"/> All Other Situations | | \$ 200.00 | | | |
| 23. <input checked="" type="checkbox"/> Search Fee | | | | \$400.00 | |
| <input type="checkbox"/> Search Fee (37 CFR 1.445(a)(2)) has been paid on the international application to the USPTO as an International Searching Authority | | \$ 100.00 | | | |
| <input checked="" type="checkbox"/> International Search Report prepared and provided to the Office | | \$ 400.00 | | | |
| <input type="checkbox"/> All Other Situations | | \$ 500.00 | | | |
| Total of 21, 22 and 23 = | | | | \$900.00 | |
| Additional Fee For Specification and Drawings filed in paper over 100 sheets (excluding sequence listing or computer program listing filed in an electronic medium). The fee is \$250.00 for each additional 50 sheets of paper or fraction thereof. | | | | | |
| Total Sheets | Extra Sheets | Number of Additional or Fraction Thereof (round up to a whole number) | | RATE | |
| 36 - 100 | 0/50 = | 0 x 250 | | \$0.00 | |
| Surcharge of \$130.00 for furnishing the oath or declaration later than 30 months from the earliest claimed priority date (37 CFR 1.492(h)). | | | | | |
| CLAIMS | NUMBER FILED | NUMBER EXTRA | RATE | | |
| TOTAL CLAIMS | 9 - 20 = | 0 | x \$50.00 | \$0.00 | |
| INDEPENDENT CLAIMS | 1 - 3 = | 0 | x \$200.00 | \$0.00 | |
| MULTIPLE DEPENDENT CLAIM(S) (if applicable) | + \$360.00 | yes | + \$360.00 | \$360.00 | |
| TOTAL OF ABOVE CALCULATIONS = | | | | \$1,260.00 | |
| <input checked="" type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27. The fees indicated above are reduced by 1/2 | | | | | |
| SUBTOTAL = | | | | \$630.00 | |
| Processing fee of \$130.00 for furnishing the English translation later than 30 months from the earliest claimed priority date (37 CFR 1.492(f)). | | | | \$0.00 | |

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| U.S. Application No. 10/562379 | International Application No. PCT/KR2004/001558 | Attorney's Docket No. HANOL-10658 |
| TOTAL NATIONAL FEE = | | \$630.00 |
| Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property + | | \$0.00 |
| TOTAL FEES ENCLOSED = | | \$630.00 |
| | | Amount To Be Refunded: \$ |
| | | Charged: \$ |

a. ☒ A check in the amount of **\$630.00** to cover the above fees is enclosed.

b. ☐ Please charge any my Deposit Account No. 08-1290 in the amount of \$ _____ to cover the above fees. A duplicate copy of this sheet is enclosed.


c. ☐ Fees are to be charged to a credit card. **WARNING:** Information on this form may become public. **Credit card information should not be included on this form.** Provide credit card information and authorization on PTO-2038.

d. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any overpayment to Deposit Account No. 08-1290. A duplicate copy of this sheet is enclosed.

NOTE: Where an appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR 1.137 (a) or (b)) must be filed and granted to restore the application to pending status.

Send All Correspondence To:

Tanya A. ARENSON
MEDLEN & CARROLL, LLP
101 Howard Street, Suite 350
San Francisco, CA 94105
Phone: 415-904-6500
Fax: 415-904-6510


Tanya A. ARENSON (Reg. No. 47,391)
12/23/05
Date